



This application form is for investors who do not already have an existing ISA investment in the TB Wise Investment Funds. Should you wish to make top up contributions to your existing ISA investment please use the Stocks & Shares ISA Investments - Lump Sum Top Up Form found on our website at www.tbaileyfs.co.uk.

Before completing this application form, you must read the relevant Key Investor Information Document which contains important information about your investment. You should also read the Supplementary Information Document, which contains the ISA terms and conditions.

This application form is for the current tax year and each subsequent year until further notice. Should you wish to make future lump sum contributions you can do using the Stocks & Shares ISA Investments - Top Up Form found on our website www.tbaileyfs.co.uk. A new Stocks & Shares ISA Investments & Transfers Application Form will only be required if there has been an entire tax year in which you do not make a subscription and you subsequently want to invest.

All relevant documentation, including the full prospectus, can be obtained from T. Bailey Fund Services Limited, 64 St. James's Street, Nottingham, NG1 6FJ, at: www.tbaileyfs.co.uk or by calling the Client Services helpline on 0115 988 8258. (Calls are recorded).

Please complete the application form in blue or black ink and in BLOCK CAPITAL letters. Failure to correctly complete relevant sections of the application form may delay or invalidate your application.

The completed application form should be returned to: Dealing & Administration, T. Bailey Fund Services Limited, 64 St. James's Street, Nottingham, NG1 6FJ.

T. Bailey Fund Services Limited ('TBFS') is authorised and regulated by the Financial Conduct Authority (FCA) and is a HM Revenue & Customs ('HMRC') approved Stocks & Shares ISA Manager.

Section 1: Personal details

Please complete the personal details in full. Please note that Stocks & Shares ISA investments are only available to individuals over 18 years of age who are UK residents or Crown employees serving overseas or spouses (see section 6)

Tick if an existing account holder Existing account number (if applicable)

Title First name(s) Surname

Permanent residential address

Postcode

Email address

Daytime telephone number

Date of Birth (DD/MM/YYYY) / /

National Insurance Number - - (NI No. can be found on P60, tax return, notice of coding or pension book). Failure to provide a NI No. will invalidate your application.

In order to improve efficiency and reduce our impact on the environment we aim to distribute the periodic Report & Accounts to clients via email. Please tick the box if you would prefer NOT to receive this information electronically

Nominated Bank Details

You must nominate a bank account (based in the currency of the unit/share class in which you chose to invest) in your own name(s) to receive proceeds should you wish to redeem your investment, and also to receive income distribution payments (if applicable). If you do not supply bank details we reserve the right to reject your application.

Name of Bank or Building Society Sort Code - -

Name(s) of Account Account No.

Building Society Roll No. (if applicable)

IBAN (only required where Sterling bank account is not UK based)

Swift (only required where Sterling bank account is not UK based)

Income payments will usually be credited to your nominated bank or building society account on or around the last business day of the relevant month. Please refer to the Supplementary Information Document for income allocation dates. Please note that banks and building societies may not accept direct credits to some types of accounts.

IMPORTANT INVESTOR INFORMATION

Data Protection Policy

Any personal data (i.e. information such as your name, date of birth, address or other such data which may be used to identify you) which you provide will be held by TBFS as Data Controller for the purposes of the Data Protection Act 1998. You understand that by providing this information you consent to TBFS holding and processing this information for administration purposes in connection with your investment. TBFS may also transfer information to third party companies (e.g. system providers) solely in connection with the above purpose. We will also share this information with your registered Intermediary (e.g. your financial adviser) and with other organisations where necessary in order to comply with legal and regulatory requirements (e.g. HMRC). We may also send your details (including details of amounts invested) to the Investment Manager/ Sponsor of the relevant fund so they can service your investment. If you do not wish your contact details to be passed on to the Investment Manager/ Sponsor of the relevant fund other than TBFS please send an email requesting this to clientservices@tbailey.co.uk. We will only use your personal data in a manner that is fair and we will only share personal information with other Data Controllers that are registered with the Information Commissioner's Office.

Identification Verification

Applications will be subject to the United Kingdom's verification of identity requirements which are contained in the Money Laundering Regulations 2007, the Serious Organised Crime and Police Act 2005, the Proceeds of Crime Act 2002, the Terrorism Act 2000, the HM Treasury Sanctions Notice and the FCA Handbook. To comply with these regulations, we may need to request additional evidence of identity from you. We may use a credit reference agency who will record that an enquiry has been made. **We reserve the right to refrain from investing your money and to withhold any repayment of capital and / or income until adequate evidence of identity under these regulations has been provided.**

Do you have a Financial Adviser? (tick one)

Yes (go to section 2)

No (go to section 4)

Section 2: Financial Adviser Details — to be completed by a Financial Adviser (if applicable)

Please see TBFS's Intermediary Terms of Business at www.tbaileys.co.uk. Intermediaries who conduct business with TBFS are deemed to have accepted these terms.

Business Name

FCA Reference No.

TBFS Agency Number (if applicable)

Financial Adviser Stamp (including address & postcode)

Consultant's Name

Email address

Telephone number

Bank account details for adviser charge payments:

If bank details are not provided this could delay payment of any adviser charges

Name of Bank or Building Society

Sort Code

Name(s) of Account

Account No.

Building Society Roll No. (if applicable)

IBAN (only required where Sterling bank account is not UK based)

Swift (only required where Sterling bank account is not UK based)

Where a Financial Adviser is listed TBFS's default position is that advice has been given in regards to this application unless we are instructed otherwise.

Tick here if no advice has been given

Please be advised we must be informed at the point of each subsequent investment whether or not advice has been given.

Authorised Signatory of Financial Adviser Firm:

FOR OFFICE USE ONLY

Existing Account

DoB

ID Verification

Regular Saver Processing

Full Declaration

NINO

Cheq (if applicable)

Further Action Required

ISAvr1.0

Section 3: Financial Adviser Charges

Additional notes to Financial Advisers

From 31 December 2012, ongoing commission cannot be paid to a UK Financial Adviser from a product where advice has been given to a UK retail client to invest in a product. For deals where advice has been given to a UK retail client, investment should therefore be made into classes which do not contain an element of commission built into the annual management charge (AMC). See the Supplementary Information Document for this fund range for a complete class list. Section 2 of this application form declares if no advice has been given. This application may be rejected if any sections are incomplete or inconsistent.

Special terms relating to initial charges must be agreed with TBFS prior to the submission of this form.

Please ensure that you have read, understood and signed the additional declaration(s) in Section 6 of this application form which refer to adviser charges.

Initial Adviser Charges

By completing this section, you are instructing us to pay an amount deducted from your investment as outlined in Section 4 to the agent listed in Section 2 as an adviser charge. As a result of this, the net amount invested in your chosen fund will be reduced by the amount of the adviser charge. For example if you have invested £10,000 and you have elected to pay your adviser a 2% adviser charge from your initial investment, we will pay your adviser £200 and £9,800 will be invested into the fund.

Initial adviser charge payable to appointed Financial Adviser: %

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Please move to the next page to continue with your application.

Section 4: Investment Details — Please see HMRC website for annual ISA subscription limits

Please confirm the fund and class that you wish to invest in by completing the table below.

	Lump Sum Investment * Specify the cash value in this column	ISA Transfer ** Specify approximate cash value in this column	Monthly Regular Saver*** Specify the monthly cash value in this column
Evenlode Income (B Income)	£	£	£
Evenlode Income (B Accumulation)	£	£	£
TB Wise Income (B Income)	£	£	£
TB Wise Income (B Accumulation)	£	£	£
TB Wise Investment (B Accumulation)	£	£	£
	£	£	£

Your total ISA investment for the current tax year must not exceed the lump sum subscription limit of £15,240

*Minimum initial lump sum investment £1,000.

**For ISA transfers please also complete the ISA Transfer Instruction forms included in this application pack.

*** Minimum monthly regular savings £50 per month - If you would like to invest using the monthly savings facility, please complete the Direct Debit mandate on the last page of this application pack.

Note to Financial Advisers: Classes listed above do not contain an element of ongoing adviser commission in the annual management charge. If advice has not been given (or has been given to a non-UK client) and the investment is to be made into a commission paying class, please use the blank rows to list the fund and class required. Please also give clear instructions of any initial commission % payable and ensure sections 2 & 3 of this application form are fully completed.

Section 5: Payment method

Please tick and confirm your payment method:

Electronic Payment

Please note that TBFS is unable to make a collection from your account and you must instruct your bank to transfer payment to us

Please send electronic payments with your name as the payment reference to the following account;
Account Name: TB Wise Client Money Account Account Number: 61214612 Sort Code: 60-80-09

Cheque Payment

Please include a correctly completed cheque with your application form as failure to do so may delay or invalidate your investment.

Please also note that cheques:

- should be made payable to **T. BAILEY FUND SERVICES LIMITED**
- should either be drawn on a bank account held in the name of the applicant, or from a building society with the applicant's name clearly displayed on the face of the cheque; and,
- should not be post-dated

Direct Debit (for regular savings only)

Please complete the mandate on the last page of this application form.

Transfer balance from existing TBFS Non-ISA holding

Please confirm your Non-ISA account number you are transferring from

Please note that if a transfer is instructed from a joint holding, you must be one of the registered holders on the account, and a separate letter must be included with this application form, signed by all holders, giving authority for the transfer to the applicant.

Transfer ISA from another ISA Manager

Please complete the ISA transfer form included in this application form.

Section 6: Declarations

Please read this section carefully before signing and dating the declaration and ensure that the total amount you wish to subscribe is within the ISA regulations, as detailed below and in our ISA terms and conditions attached to the Supplementary Information Document. This is our standard declaration upon which we intend to rely. For your own benefit and protection you should read these terms along with the contents of the Supplementary Information Document including ISA terms and conditions and the relevant Key Investor Information Document carefully before signing. More detailed information can be found in the TB Wise Investment Funds Prospectus. Copies of these documents can be obtained from www.tbaileys.co.uk or by contacting TBFS. If you do not understand any point please ask your Financial Adviser or TBFS for further information. Please note that TBFS is not authorised to provide financial advice to private individuals. **Failure to sign will mean your application form cannot be processed.**

I declare that:

- The information contained in this application form and any additional pages completed by me is correct to the best of my knowledge and belief and I undertake to notify TBFS without delay of any changes to these particulars.
- I confirm that I have read and understood in full the information contained in this application form and the relevant Key Investor Information Document, the Supplementary Information Document and ISA Terms and Conditions (and have kept a copy of these for my records), and that these documents constitute the agreement between me and TBFS.
- I agree to be bound by the terms and conditions contained therein.
- I request TBFS to act in accordance with my instructions. I understand that this application is subject to acceptance by TBFS and that TBFS accepts no responsibility for any loss incurred as a result of any delay in the submission of the application form.
- I agree to indemnify TBFS and its delegates and/or agents including the administrator for any loss arising to TBFS and/or its delegates and/or agents by reason of them becoming liable to account for tax in any jurisdiction on the happening of a Chargeable Event (as defined in the Prospectus). This indemnity applies notwithstanding anything to the contrary in this application form or the current Prospectus, unless otherwise agreed by TBFS in writing.
- I agree to provide any information as may be requested by TBFS to enable the relevant fund to satisfy any legal, regulatory or tax obligations.
- I consent to TBFS and its delegates and/or agents to disclosing any confidential or personal information as may be required by any governmental, regulatory or taxation authority in order for TBFS to comply with legal, regulatory or tax obligations.

- I am 18 years of age or over;
- All subscriptions made, and to be made, belong to me;
- I apply to subscribe for a stocks and shares ISA for the 2016/2017 tax year and each subsequent year until further notice for the amount as detailed in Section 4, or if the amount shown in Section 4 is more than the limit allowed under HM Revenue & Customs regulations, I subscribe for the maximum allowed under such regulations with any remainder part of my application being treated as an application form to invest in an OEIC/ Unit Trust outside of an ISA tax wrapper.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas) are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with a person who performs such duties. I will inform TBFS if I cease to be so resident and ordinarily resident or to perform such duties or be married to or in a civil partnership with a person who performs such duties.
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA, and an innovative finance ISA in the same tax year.
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- I understand that the TBFS ISA is a stocks and shares ISA and the annual subscription limit applies to the aggregate investment in the cash and stocks and shares component.

I hereby authorise TBFS:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and other cash, and, to make on my behalf any claims to relief from tax in respect of ISA investments.
- On my written request transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.

Additional declaration if adviser charges are to be taken from the investment

- I declare that I have agreed with the agent listed in Section 2 that I will pay the agent the initial adviser charge stated in section 3 and I instruct you to pay such a charge to the agent listed as an initial adviser charge for services provided. I acknowledge that this will reduce the amount of my initial investment.

Applicant Signature

X

Date

/ /

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Please move to the next page to continue with your application.



Wise Investment

ISA Transfer Instruction Form

Please note that if you want to transfer an ISA from more than one manager, you must complete a separate transfer instruction for each one.

Information for current ISA Managers

Please send a cheque, payable to T. Bailey Fund Services Limited, along with a full history of the ISA being transferred plus the date of the transfer to Dealing and Administration, T. Bailey Fund Services Limited, 64 St. James's Street, Nottingham NG1 6FJ. Please note that T. Bailey Fund Services Limited's HMRC manager reference is Z1520. Any income or tax credits accruing in excess of £25 after you have sent these proceeds should also be sent to the same address.

Name of current ISA Manager		Address of current ISA Manager	
<input type="text"/>		<input type="text"/>	
Account Number or Reference		Postcode	
<input type="text"/>		<input type="text"/>	

I wish to transfer my ENTIRE (tick as appropriate)	Approximate Value	Maturity Date (if applicable)
Current & Previous tax years - Stocks & Shares ISA holding	<input type="checkbox"/> £ <input type="text"/>	<input type="checkbox"/> / /
Previous tax years - Stocks & Shares ISA holding	<input type="checkbox"/> £ <input type="text"/>	<input type="checkbox"/> / /
Current tax year - Stocks & Shares ISA holding	<input type="checkbox"/> £ <input type="text"/>	<input type="checkbox"/> / /
Current & Previous tax years - Cash ISA holding	<input type="checkbox"/> £ <input type="text"/>	<input type="checkbox"/> / /
Previous tax years - Cash ISA holding	<input type="checkbox"/> £ <input type="text"/>	<input type="checkbox"/> / /
Current tax year - Cash ISA holding	<input type="checkbox"/> £ <input type="text"/>	<input type="checkbox"/> / /

If you do not wish this ISA to be transferred until after a specific product maturity date, please tick and provide the relevant maturity date

ISA investments to be transferred in part (Previous tax years only)	Specific Tax Year	Type of ISA	Approximate Value	Maturity Date (if applicable)
<i>Please check with your existing ISA Manager that they can accommodate partial transfers</i>	Enter tax year (e.g. 2011/12)	(circle as appropriate)		
Please transfer specific tax years detailed below	<input type="text"/>	Cash / Stocks & Shares	£ <input type="text"/>	<input type="checkbox"/> / /
	<input type="text"/>	Cash / Stocks & Shares	£ <input type="text"/>	<input type="checkbox"/> / /
	<input type="text"/>	Cash / Stocks & Shares	£ <input type="text"/>	<input type="checkbox"/> / /
	<input type="text"/>	Cash / Stocks & Shares	£ <input type="text"/>	<input type="checkbox"/> / /

If you do not wish this ISA to be transferred until after a specific product maturity date, please tick here and provide the relevant maturity date

I hereby instruct my current ISA manager named above, to liquidate these assets within my ISA as detailed above, with immediate effect, and to transfer the proceeds to T. Bailey Fund Services Limited, 64 St. James's Street, Nottingham, NG1 6FJ.

Applicant's Full Name	<input type="text"/>
Permanent residential address	<input type="text"/>
Date of Birth (DD/MM/YYYY)	<input type="text"/> / <input type="text"/> / <input type="text"/>
National Insurance Number	<input type="text"/> - <input type="text"/> - <input type="text"/>
Postcode	<input type="text"/>
Applicant Signature	<input type="text"/>
Date	<input type="text"/> / <input type="text"/> / <input type="text"/>

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Please move to the next page to continue with your application.

