



Wise Investment

Wise Investment Funds

For 2015/2016

Junior ISA Investments and Transfers Application Form

Before completing your application form, you must read the relevant Key Investor Information document which contains important information about your investment. You should also read the Supplementary Information document, which contains ISA terms and conditions.

All relevant documentation can be obtained from T. Bailey Fund Services Limited, 64 St. James's Street, Nottingham, NG1 6FJ, at: [www.tbailey.co.uk/wise](http://www.tbailey.co.uk/wise) or by calling the Client Services helpline on 0115 988 8258. (Calls are recorded).

Please complete the application form in **blue or black ink and in BLOCK CAPITAL letters**. Failure to correctly complete relevant sections of the application form may delay or invalidate your application.

The completed application form should be returned, along with the correct payment to:  
Dealing and Administration, T. Bailey Fund Services Limited, 64 St. James's Street, Nottingham NG1 6FJ.

T. Bailey Fund Services Limited is authorised and regulated by the Financial Conduct Authority and is a HMRC approved Stocks and Shares ISA Manager.

1: Adviser status / Advice given - **Failure to complete this section may result in your application being rejected**

1A: Do you have a Financial Intermediary?

No  (Please move on to section 2)

Yes  (Please complete 1B and 1C below)

1B: Intermediary details - Your Financial Intermediary will provide you with the details to complete this section. Your application may be rejected / delayed if this section is incomplete or inconsistent.

Business Name

Address

  
  
  
  

Postcode

Telephone Number

Email Address

Intermediary Number (T. Bailey Reference)

Consultant's Name

FCA Reference No.

1C: Have you received advice regarding this application?

No

Yes

Note to Intermediaries: Please see T. Bailey's Intermediary Terms of Business at [www.tbailey.co.uk](http://www.tbailey.co.uk). Intermediaries who conduct business with T. Bailey Fund Services Limited are deemed to accept these terms.

## Money laundering

Applications will be subject to the United Kingdom's verification of identity requirements which are contained in the Money Laundering Regulations 2007, the Counter Terrorism Act 2008, the Proceeds of Crime Act 2002 and the Terrorism Act 2000, the HM Treasury Sanction Notices and the FCA Handbook.

To comply with these regulations, we may need to request additional evidence of identity from you. We may use a credit reference agency who will record that an enquiry has been made. We reserve the right to refrain from investing your money and to withhold any repayment of capital and / or income until adequate evidence of identity under these regulations has been provided.

This page has been left intentionally blank.

Please move to the next page to begin your JISA application.

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**FOR OFFICE USE ONLY**

- |   |   |
|---|---|
| <input type="checkbox"/> Existing account   | <input type="checkbox"/> Logged on file |
| <input type="checkbox"/> Full declaration/s | <input type="checkbox"/> NINO           |
| <input type="checkbox"/> DoB                |   |
| <input type="checkbox"/> AML                |   |
| <input type="checkbox"/> Cheque             |   |
| <input type="checkbox"/> Regular Saver      |   |
| <input type="checkbox"/> Further processing |   |

## 2: Personal details for applicant

Please complete the personal details in full. Note that Stocks and Shares Junior ISA investments can only be applied for by individuals over 16 years of age. Where the applicant is a child over the age of 16 (but under the age of 18) please complete sections 2 and 3.

If the child is under 16 years of age, only a person with parental responsibilities can be the applicant and registered contact - in this instance the applicant should put their details in section 2 and the child's details in section 3.

Please note the applicant is the person who will be the first registered contact on the account. The registered contact address will be used for correspondence purposes.

Please tick if an existing account holder <input type="checkbox"/>		Existing account number	<input type="text"/>
Title	First name(s)	Surname	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Permanent residential address		Password of your choice (for security reasons) (6-10 characters)	
<input type="text"/>		<input type="text"/>	
Postcode		Email address	
<input type="text"/>		<input type="text"/>	
		Daytime telephone number	
		<input type="text"/>	
		Date of Birth (DD-MM-YYYY) <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	

Data Protection Policy - Any information which you provide may be held by T. Bailey Fund Services Limited and T. Bailey Asset Management Limited ("T. Bailey"). You understand that by providing us with this information, you consent to us processing it for administration purposes only. T. Bailey respects your privacy and will never sell or rent your personal details.

In order to improve efficiency and reduce T. Bailey's impact on the environment we aim to distribute the periodic Report & Accounts to clients via e-mail. Please tick the box if you would prefer NOT to receive this information electronically.

## 2A: Personal details for contributors other than applicant

Please provide the following details;

Title	First name(s)	Permanent residential address	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Postcode	
<input type="text"/>		<input type="text"/>	
Date of Birth (DD-MM-YYYY)	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		

## 3: Personal details for child (used for reporting purposes)

Please complete the personal details in full. Note that Stocks and Shares Junior ISA investments are only available for children under 18 years of age. Please note that the child will be the beneficial owner of the investments held in the JISA. The amount subscribed is a gift to the child and as such cannot be paid to the subscriber if at a later date the subscriber changes their mind.

Please tick if an existing account holder <input type="checkbox"/>		Existing account number	<input type="text"/>
Title	First name(s)	Permanent residential address	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Postcode	
<input type="text"/>		<input type="text"/>	
National Insurance Number (if applicable)	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/>		
Please tick if the child does not have a NI Number <input type="checkbox"/>	Date of Birth (DD-MM-YYYY)	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	

**The total investment must not exceed £4,080 per annum per child for a stocks and shares JISA (this limit is reduced £1 for £1 down for any investment into a cash JISA in the same tax year, e.g. If £2,000 was invested into a Junior cash ISA the investment in a stocks & shares ISA could not exceed £2,080).**

## 4: Investment details - Stocks and Shares JISA investment

Please see the Key Investor Information documents and Supplementary Information document for details of fund range, including risk profile, costs and which classes are available. Documents available at [www.tbailey.co.uk/wise](http://www.tbailey.co.uk/wise)

The classes listed below do not contain an element for ongoing adviser commission in the annual management charge, and are most suitable for direct clients, or for clients where advice has been given.

Note to intermediaries: If investment is to be made into a commission paying class, please use the blank rows to list the fund and class required. Please ensure your details are completed in section 1B of this application form.

Please confirm the fund and class that you wish to invest in by completing the table below.

### For JISA's all investments are made into accumulation classes.

Please refer to the relevant Key Investor Information document and Supplementary Information document for further details.

	Lump sum investment (£)* (Max £4,080) Specify the lump sum amount in this column.	JISA Transfer (£)** Specify the approximate amount in this column.	Monthly regular saving (£)** Specify the monthly amount in this column.
TB WISE STRATEGIC B ACCUMULATION			
TB WISE INCOME B ACCUMULATION			
EVENLODE INCOME B ACCUMULATION			
TB WISE INVESTMENT B ACCUMULATION			

\*Minimum initial lump sum investment £1,000. Minimum subsequent investment (e.g. top up) £500.

\*\*For JISA or CTF transfers please also complete the Transfer Instruction forms on last page of this application pack.

\*\*\*Minimum monthly regular savings £50 per month. Direct debits are collected on or around the 15th of the month. If you would like to invest using the monthly savings facility, please complete the direct debit mandate on last page of this application pack.

## 5A: Payment method

Please tick and confirm your payment method:

Cheque  Direct debit (regular savings) Please also complete the direct debit mandate (last page)

Electronic payment  Investment transfer from existing holding  
Please confirm account number you are transferring from:

**CHEQUES SHOULD BE MADE PAYABLE TO T. BAILEY FUND SERVICES LIMITED**

Please ensure that for lump sum investments you include a correctly completed cheque with your application form as failure to do so may delay or invalidate your investment.

Please also note that cheques:

- should either be drawn on a bank account held in the name of the applicant, or from a building society with the applicant's name clearly displayed on the face of the cheque;
- should have your full name and address clearly written on the reverse of the cheque; and,
- should not be post-dated.

Please either attach a cheque to this application form and send to the address shown at the front of this form, or send an electronic payment (with the applicant name as the payment reference) to the following account;

Account Name: **TB WISE CLIENT MONEY ACCOUNT** Account Number: **61214612** Sort Code: **60-80-09**

## 5B: Bank details

You must nominate a Sterling based bank account to receive the proceeds should you wish to redeem your investment. If you do not supply bank details we reserve the right to reject your application.

Name of Bank or Building Society	Name(s) of Account	
<input type="text"/>	<input type="text"/>	
Address	Account No.	Sort Code
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/>
Postcode	IBAN (where bank is not UK based)	<input type="text"/>
	Swift (where bank is not UK based)	<input type="text"/>
	Building Society Roll No. (if applicable)	<input type="text"/>

Please note that banks and building societies may not accept direct credits to some types of accounts.

## 6A: Initial adviser charging declaration

**For UK applicants who have received financial advice and have agreed to pay for the advice through initial adviser charging.**

Please only complete this page if you have agreed to pay an adviser charge to your financial intermediary. If you have not agreed to pay for your advice through adviser charging - please move directly to the standard declaration (6B) below.

**Note to Financial Intermediaries: From 31 December 2012, commission cannot be paid to a UK adviser from a product where advice has been given to a UK client to invest in a product. For deals where advice has been given to UK client, investment should therefore be made into classes which do not contain an element of commission built into the annual management charge (AMC). See the Supplementary Information document for a complete class list. Section 1 of this application form declares if advice has been given. This application may be rejected if Section 1 is incomplete or inconsistent. If the advice given box is ticked, then commission cannot be paid, unless you are a non-UK investor. Please check Section 1 has been completed correctly.**

**Adviser charges from the initial investment - Please only complete this section if adviser charges are to be taken from the initial investment.**

If this facility is not required, please move directly to the standard declaration in 6B. By completing this section, you are instructing us to pay an amount from your initial investment as outlined in Section 4 to the agent listed in Section 1B as an adviser charge. As a result of this, the net amount invested in your chosen fund will be reduced by the amount of the adviser charge. For example if you have invested £10,000 and you have elected to pay your adviser a 2% adviser charge from your initial investment, we will pay your adviser £200 and £9,800 will be invested into the fund. I declare that I have agreed with the agent listed in Section 1B that I will pay the agent the above stated initial adviser charge and I instruct you to pay such a charge from the amount listed in Section 4 to the agent listed as an initial adviser charge for services provided. I acknowledge that this will reduce the amount of my initial investment.

Initial adviser charge %

Please also sign the declaration below if facilitation of an initial adviser charge is requested. Adviser charges cannot be facilitated unless this additional declaration has been signed.

Signature

**Now complete the standard declaration in section 6B below.**

## 6B: Declaration: **To be completed by the applicant listed in section 2.**

Please read this section carefully before signing and dating the declaration and ensure that the total amount you wish to subscribe is within the ISA regulations as detailed below and in our ISA terms and conditions and supplementary JISA terms and conditions attached to the Supplementary Information Document. This is our standard declaration upon which we intend to rely. For your own benefit and protection you should read these terms along with the contents of the Supplementary Information Document including ISA terms and conditions and the relevant Key Investor Information document carefully before signing. More detailed information can be found in the TB Wise Investment Funds Prospectus. Copies of these documents can be obtained from [www.tbailey.co.uk/wise](http://www.tbailey.co.uk/wise) or by contacting T. Bailey Fund Services Limited. If you do not understand any point please ask your financial adviser or T. Bailey for further information. Please note that T. Bailey are not authorised to provide financial advice to private individuals. Failure to sign will mean your application form cannot be processed.

### **I hereby authorise T. Bailey Fund Services Limited:**

To hold the subscriptions, JISA investments, interest, dividends and any other rights or proceeds in respect of those investments and other cash, and,

To make on the child's behalf any claims to relief from tax in respect of JISA investments.

I declare that the information contained in this application form, the appendices and any additional pages completed by me is correct to the best of my knowledge and belief and I undertake to notify T. Bailey Fund Services Limited without delay of any changes to these particulars.

I confirm that I have read and understood in full the information contained in this Application Form and the relevant Key Investor Information document, the Supplementary Information document and ISA Terms and Conditions and Supplementary JISA Terms and Conditions (and have kept a copy of these for my records), and that these documents constitute the agreement between me and T. Bailey Fund Services Limited and I agree to be bound by the terms and conditions contained therein.

I request T. Bailey Fund Services Limited to act in accordance with my instructions. I understand that this application is subject to acceptance by T. Bailey Fund Services Limited and that T. Bailey Fund Services Limited accepts no responsibility for any loss incurred as a result of any delay in the submission of the application form.

I understand that this JISA is a stocks and shares JISA and the subscription limit applies to the aggregate investment in the cash and stocks and shares component.

I understand that I (the applicant) will be the person who is the first registered contact for the account.

### **I declare that:**

I am 16 years of age or over

I am the child / I have parental responsibility for the child listed in section 3. (Delete which does not apply)

The above named child does not have a Child Trust Fund account.

I apply to subscribe for a stocks and shares Junior ISA for the child listed in section 3, for the 2015/2016 tax year and each subsequent year until further notice.

The above named child is resident in the United Kingdom for tax purposes or is a UK Crown Servant, is a dependant of a UK Crown Servant, or is married to/in a civil partnership with a UK Crown Servant.

I will inform T. Bailey Fund Services Limited if the child ceases to be so resident and ordinarily resident or to perform such duties or be married to or in a civil partnership with a person who performs such duties.

I have not subscribed and will not subscribe to another JISA of this type for this child.

I am not aware this child has another JISA of this type.

I will not knowingly make subscriptions to JISAs for this child that will result in the subscription limit being exceeded.

Signature

X

Date

-  -



# Wise Investment

## JISA and Child Trust Fund (CTF) Transfer Instruction Form

Please note that if you want to transfer a JISA from more than one manager, you must complete a separate instruction for each one. Additional Transfer Instruction Forms can be downloaded at [www.tbailey.co.uk/wise](http://www.tbailey.co.uk/wise)

### Information for current JISA or CTF Managers

CHEQUES SHOULD BE MADE PAYABLE TO T. BAILEY FUND SERVICES LIMITED

Please send a cheque along with a full history of the JISA or CTF being transferred plus the date of the transfer to Dealing and Administration, T Bailey Fund Services Limited, 64 St. James's Street, Nottingham NG1 6FJ. Please note that T. Bailey Fund Services Limited's HMR&C reference is Z1520. Any income or tax credits accruing in excess of £25 after you have sent these proceeds should also be sent to the same address.

I hereby instruct my current JISA or CTF manager named in this part, to liquidate these assets within my JISA or CTF as detailed here, with immediate effect, and to transfer the proceeds to T. Bailey Fund Services Limited, 64 St. James's Street, Nottingham NG1 6FJ.

To (Name of current JISA or CTF Manager)

Address  
Postcode

Name of REGISTERED CONTACT, including title

Permanent Residential Address  
Postcode

Account Number/Reference

Signature X Date (DD-MM-YY)

Name of CHILD including title  
Permanent Residential Address  
Postcode

### Investments to be transferred in full

I wish to transfer my: Approx Value (£)

Stocks and shares JISA

Cash JISA

Child Trust Fund

### JISA Investments to be transferred in part (Previous tax years only)

Please check with your existing JISA Manager that they can accommodate partial transfers. Please transfer specific tax years as detailed below

Enter tax year (e.g. 2011/12) and tick the relevant box(es) Approx Value (£)



# Wise Investment

## JISA and Child Trust Fund (CTF) Transfer Instruction Form

Please note that if you want to transfer a JISA from more than one manager, you must complete a separate instruction for each one. Additional Transfer Instruction Forms can be downloaded at [www.tbailey.co.uk/wise](http://www.tbailey.co.uk/wise)

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I hereby instruct my current JISA or CTF manager named in this part, to liquidate these assets within my JISA or CTF as detailed here, with immediate effect, and to transfer the proceeds to

To (Name of current JISA or CTF Manager)

Address  
Postcode

Name of REGISTERED CONTACT, including title

Permanent Residential Address  
Postcode

Account Number/Reference

Signature X Date (DD-MM-YY)

Name of CHILD including title  
Permanent Residential Address  
Postcode

### Investments to be transferred in full

I wish to transfer my: Approx Value (£)

Stocks and shares JISA

Cash JISA

Child Trust Fund

### JISA Investments to be transferred in part (Previous tax years only)

Please check with your existing JISA Manager that they can accommodate partial transfers. Please transfer specific tax years as detailed below

Enter tax year (e.g. 2011/12) and tick the relevant box(es) Approx Value (£)

## Instruction to your bank/building society to pay by direct debit

To be completed by monthly investors ONLY. Please complete and send to T. Bailey with your application.



To the Manager. Name of bank or building society

Address  
Postcode

Name(s) of Account Holder(s)

Account No. Sort Code

Reference No. (office use only)

Originator's Identifica-

6 8 3 0 8 7

Please pay T. Bailey Fund Services Limited, Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee, attached to the Supplementary Information document (SID). I understand that this instruction may remain with T. Bailey Fund Services Limited, and if so, details will be passed electronically to my Bank/Building Society.

Signature(s) Date (DD-MM-YY)