



Before completing your application form, you must read the relevant Key Investor Information document which contains important information about your investment. You should also read the Supplementary Information document, which contains ISA terms and conditions.

All relevant documentation can be obtained from T. Bailey Fund Services Limited, 64 St. James's Street, Nottingham, NG1 6FJ, at: www.tbailey.co.uk/wise or by calling the Client Services helpline on 0115 988 8258. (Calls are recorded).

Please complete the application form in **blue or black ink and in BLOCK CAPITAL letters**. Failure to correctly complete relevant sections of the application form may delay or invalidate your application.

The completed application form should be returned, along with the correct payment to:
Dealing and Administration, T. Bailey Fund Services Limited, 64 St. James's Street, Nottingham NG1 6FJ.

T. Bailey Fund Services Limited is authorised and regulated by the Financial Conduct Authority.

1: Adviser status / Advice given - **Failure to complete this section may result in your application being rejected**

1A: Do you have a Financial Intermediary?

No (Please move on to section 2)

Yes (Please complete 1B and 1C below)

1B: Intermediary details - Your Financial Intermediary will provide you with the details to complete this section. Your application may be rejected / delayed if this section is incomplete or inconsistent.

Business Name

Address

Postcode

Telephone Number

Email Address

Intermediary Number (T. Bailey Reference)

Consultant's Name

FCA Reference No.

1C: Have you received advice regarding this application?

No

Yes

Note to Intermediaries: Please see T. Bailey's Intermediary Terms of Business at www.tbailey.co.uk/rdr
Intermediaries who conduct business with T. Bailey Fund Services Limited are deemed to accept these terms.

Money laundering

Applications will be subject to the United Kingdom's verification of identity requirements which are contained in the Money Laundering Regulations 2007, the Counter Terrorism Act 2008, the Proceeds of Crime Act 2002 and the Terrorism Act 2000, the HM Treasury Sanction Notices and the FCA Handbook.

To comply with these regulations, we may need to request additional evidence of identity from you. We may use a credit reference agency who will record that an enquiry has been made. We reserve the right to refrain from investing your money and to withhold any repayment of capital and / or income until adequate evidence of identity under these regulations has been provided.

FOR OFFICE USE ONLY

- | | | |
|---|--|--|
| <input type="checkbox"/> Existing account | <input type="checkbox"/> Logged on file | <input type="checkbox"/> Tax categorisation code _____ |
| <input type="checkbox"/> Full declaration/s | <input type="checkbox"/> Further processing | |
| <input type="checkbox"/> DoB | <input type="checkbox"/> GIIN number/s checked | |
| <input type="checkbox"/> AML | <input type="checkbox"/> Self-certification complete | |
| <input type="checkbox"/> Cheque | <input type="checkbox"/> Controlling Persons form attached | |
| <input type="checkbox"/> Regular Saver | <input type="checkbox"/> Reportable account | |

2: Personal details

Please complete the details for all applicants in full. Note that we can accept applications from up to 4 joint holders for an OEIC investment. Details of the first 2 applicants should be completed below. If more than two names are to be used, tick here and provide the details of applicants 3 and 4 on a separate sheet of paper. Purchases for children under 18 years of age should be registered in the name of an adult and may be designated if desired. Contract notes are sent to all investors but six-monthly statements and short reports are only sent to first named investors.

2A: Applicant details

Please tick if an existing account holder <input type="checkbox"/>		Existing account number	<input type="text"/>
Title	First name(s)	Surname	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Permanent residential address		Password of your choice (for security reasons) (6-10 characters)	
<input type="text"/>		<input type="text"/>	
Postcode		Email address	
<input type="text"/>		<input type="text"/>	
In order to improve efficiency and reduce T. Bailey's impact on the environment we aim to distribute the periodic Report & Accounts to clients via e-mail. Please tick the box if you would prefer NOT to receive this information electronically. <input type="checkbox"/>			
Date of Birth (DD-MM-YYYY)		Daytime telephone number	
<input type="text"/> - <input type="text"/> - <input type="text"/>		<input type="text"/>	
Data Protection Policy - Any information which you provide may be held by T. Bailey Fund Services Limited and T. Bailey Asset Management Limited ('T. Bailey'). You understand that by providing us with this information, you consent to us processing it for administration purposes only. T. Bailey respects your privacy and will never sell or rent your personal details.			
Residents and Citizens on non-UK Jurisdictions - Please only complete this section if you a resident or a citizen of a non-UK jurisdiction.			
Tick this box if you are resident in the US for tax purposes or are a US citizen. <input type="checkbox"/>			
Tick this box if you are a European citizen and not resident in the UK. <input type="checkbox"/>			
Place of birth		<input type="text"/>	
Country of residence		<input type="text"/>	
Do you have a tax identification number? Yes <input type="checkbox"/>		No <input type="checkbox"/>	Tax identification number <input type="text"/>
If you do not have a tax identification number please enclose certified copies of your original passport or official identity card.			

2B: Applicant details

Please tick if an existing account holder <input type="checkbox"/>		Existing account number	<input type="text"/>
Title	First name(s)	Surname	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Permanent residential address		Password of your choice (for security reasons) (6-10 characters)	
<input type="text"/>		<input type="text"/>	
Postcode		Email address	
<input type="text"/>		<input type="text"/>	
Date of Birth (DD-MM-YYYY)		Daytime telephone number	
<input type="text"/> - <input type="text"/> - <input type="text"/>		<input type="text"/>	
Data Protection Policy - Any information which you provide may be held by T. Bailey Fund Services Limited and T. Bailey Asset Management Limited ('T. Bailey'). You understand that by providing us with this information, you consent to us processing it for administration purposes only. T. Bailey respects your privacy and will never sell or rent your personal details.			
Residents and Citizens on non-UK Jurisdictions - Please only complete this section if you a resident or a citizen of a non-UK jurisdiction.			
Tick this box if you are resident in the US for tax purposes or are a US citizen. <input type="checkbox"/>			
Tick this box if you are a European citizen and not resident in the UK. <input type="checkbox"/>			
Place of birth		<input type="text"/>	
Country of residence		<input type="text"/>	
Do you have a tax identification number? Yes <input type="checkbox"/>		No <input type="checkbox"/>	Tax identification number <input type="text"/>
If you do not have a tax identification number please enclose certified copies of your original passport or official identity card.			

2C: Account designation

If you wish to designate the account, for example if you are investing on behalf of a minor, please insert the designation here.

For Trustee Investments please insert the name of the Trust/Pension Fund/Charity here.

3: International Tax Compliance Regulations

We are required to collect information from Entity and Individual investors to determine your classification under International Tax Regulations¹.

Please complete the relevant sections in full and provide any additional information that is requested. Please note that in certain circumstances, including if we do not receive correctly completed self-certification details, we may be obliged to share details of your account with Her Majesty's Revenue and Customs. In some cases, we may refuse your investment.

If you/your Entity's tax residency changes in the future, please ensure that you advise us of this change promptly.

Please note that T. Bailey is not authorised to provide tax advice. If you have any questions about you/your Entity's tax residency or classification you should contact a qualified tax adviser. Some of the terms used in this section are further explained on our website at www.tbailey.co.uk/fund-services/important-information.

If you are an Individual² (or a joint account of Individuals) please complete section 3A below.

If you are an Entity³ please complete section 3B below.

3A: Individual (or a joint account of individuals)

If you certify that you are resident for tax purposes in a country other than the United Kingdom you must also state your relevant tax reference number.

Declaration of Tax Residency

Please complete section (a) or section (b) as appropriate.

(a) I hereby confirm that, for tax purposes, I am resident in the United Kingdom and my National Insurance Number is:

(b) I hereby confirm that, for tax purposes, I am resident in the following countries (please ensure you include your tax reference number for each applicable country):

Country/ countries of tax residency	Tax reference number
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

3B: Entity

Please state the country of your Entity's incorporation/ organisation:

Please state the country in which your Entity is resident for tax purposes:

Is your Entity a Specified Person⁴ in this country: Yes No

NB: If your Entity is resident for tax purposes in more than one jurisdiction you must complete a copy of this section for each jurisdiction
Entity classification

Is your entity (please tick the applicable box) :

a Financial Institution⁵ (FI) (please complete Section 1)

a Non-Financial Entity (NFE) (please complete Section 2)

Section 1: The Entity is a Financial Institution (FI)

If your Entity has registered as a Financial Institution or as a Sponsored Investment Entity, please provide its Global Intermediary Identification Number (GIIN) here:

GIIN:

If your Entity is a Sponsored Investment Entity, please also provide the SPONSORING ENTITY's name and GIIN here:

Name:

GIIN:

For the purposes of FATCA⁶, is your Entity:

- A. a United Kingdom Financial Institution or a Partner Jurisdiction Financial Institution?
- B. a Registered Deemed Compliant Financial Institution?
- C. a Certified Deemed Compliant Financial Institution?
- D. a Participating Financial Institution?
- E. a Non-Participating Financial Institution?
- F. an Exempt Beneficial Owner⁷?
- G. other? (please tell us your FATCA classification)

Section 2: The Entity is a Non-Financial Entity (NFE)

Is your Entity (please tick the applicable box):

(a) an Active NFE⁸ (please now complete section 3)

(b) a Passive NFE⁹ Passive Non-Financial Entities are required to identify each of its Controlling Persons¹⁰. Please tick one of the following statements of declaration:

I certify that the Entity identification on this self-certification form has no Controlling Persons. (please now complete section 4)

I certify that the Entity identified on this self-certification form has provided all the required details of its controlling Persons/ Substantive owners.

NB: (If you have ticked this box you are required to complete an additional form to complement this application. Self-certification forms for each of your Entity's Controlling Persons (generally persons whose percentage of ownership is 25% or more of share capital/voting rights) will be required. This form is available on our website at www.tbailey.co.uk/fund-services/important-information. Your application cannot be approved without receipt of this form (if it is applicable). If any Controlling Person of the Passive NFE is a US citizen or is resident in the US for tax purposes, the Entity is not eligible to open an account for investment. Please ensure you disclose the relevant details of all Controlling Persons.

4: Investment Details

Please see the Key Investor Information documents and Supplementary Information document for details of fund range, including risk profile, costs and which classes are available. Documents available at www.tbailey.co.uk/wise.

The classes listed below do not contain an element for ongoing adviser commission in the annual management charge, and are most suitable for direct clients, or for clients where advice has been given.

Note to intermediaries: If investment is to be made into a commission paying class, please use the blank rows to list the fund and class required. Please ensure your details are completed in section 1B of this application form.

Please confirm the fund and class that you wish to invest in by completing the table below. Please refer to the relevant Key Investor Information document and Supplementary Information document for further details.	Lump sum investment (£)* Please specify the lump sum amount in this column.	Monthly regular saving (£)** Please specify the monthly amount to be collected.
TB WISE STRATEGIC B ACCUMULATION		
TB WISE INCOME B ACCUMULATION		
TB WISE INCOME B INCOME		
EVENLODE INCOME B ACCUMULATION		
EVENLODE INCOME B INCOME		
TB WISE INVESTMENT B ACCUMULATION		

*Minimum initial lump sum investment £1,000. Minimum subsequent investment (e.g. top up) £500

** Minimum monthly regular savings £50 per month - Direct debits are collected on or around the 15th of the month. If you would like to invest using the monthly savings facility, please complete the Direct Debit mandate in section 5B

Please also complete section 5A.

This section has been left intentionally blank - please proceed to the next page overleaf to continue with your application.

5: Payment method

Please tick and confirm your payment method:

- Cheque Direct debit (regular savings) Please also complete the direct debit mandate (below)
- Electronic payment Investment transfer from existing holding
Please confirm account number you are transferring from:

CHEQUES SHOULD BE MADE PAYABLE TO T. BAILEY FUND SERVICES LIMITED

Please ensure that for lump sum investments you include a correctly completed cheque with your application form as failure to do so may delay or invalidate your investment.

Please also note that cheques:

- should either be drawn on a bank account held in the name of the applicant, or from a building society with the applicant's name clearly displayed on the face of the cheque;
- should have your full name and address clearly written on the reverse of the cheque; and,
- should not be post-dated.

Please either attach a cheque to this application form and send to the address shown at the front of this form, or send an electronic payment (with the applicant name as the payment reference) to the following account;

Account Name: **TB WISE CLIENT MONEY ACCOUNT** Account Number: **61214612** Sort Code: **60-80-09**

5A: Bank details

You must nominate a Sterling based bank account to receive the proceeds should you wish to redeem your investment and also to receive interest distribution payments (if applicable). If you do not supply bank details we reserve the right to reject your application.

Name of Bank or Building Society	Name(s) of Account	
<input type="text"/>	<input type="text"/>	
Address	Account No.	Sort Code
<input type="text"/>	<input type="text"/>	<input type="text"/>
Postcode	IBAN (where bank is not UK based)	<input type="text"/>
<input type="text"/>	Swift (where bank is not UK based)	<input type="text"/>
	Building Society Roll No. (if applicable)	<input type="text"/>

Income payments will usually be credited to your nominated bank or building society account on or around the last business day of the relevant month. Please refer to the Supplementary Information document for income allocation dates. Please note that banks and building societies may not accept direct credits to some types of accounts.

5B: Instruction to your bank/building society to pay by direct debit



To be completed by monthly investors ONLY. Please complete and send to T. Bailey with your application.

To the Manager. Name of bank or building society	Reference No. (office use only)	Originator's Identification No.
<input type="text"/>	<input type="text"/>	6 8 3 0 8 7
Address	Please pay T. Bailey Fund Services Limited, Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee, attached to the Supplementary Information document (SID). I understand that this instruction may remain with T. Bailey Fund Services Limited, and if so, details will be passed electronically to my Bank/Building Society.	
<input type="text"/>	Signature(s)	Date (DD-MM-YY)
Postcode	<input type="text"/>	<input type="text"/>
Name(s) of Account Holder(s)	<input type="text"/>	
<input type="text"/>	<input type="text"/>	
Account No.	Sort Code	
<input type="text"/>	<input type="text"/>	

6A: Initial adviser Charging Declaration

Note to Financial Intermediaries: Commission cannot be paid to a UK adviser from a product where advice has been given to a UK client to invest in a product on or after 31 December 2012. For deals where advice has been given to UK client on or after 31 December 2012, investment should therefore be made into classes which do not contain an element of commission built into the annual management charge (AMC). See the Supplementary Information document for a complete class list. Section 1 of this application form declares if advice has been given. This application may be rejected if sections 1 & 4 are incomplete or inconsistent. If the advice given box is ticked, then commission cannot be paid, unless the applicant is a non-UK investor. Please check section 4 has been completed correctly.

Adviser charges from the initial investment - Please only complete this section if adviser charges are to be taken from the initial investment. If this facility is not required, please move directly to the standard declaration below (6B).

By completing this section, you are instructing us to pay an amount from your initial investment as outlined in Section 4 to the agent listed in Section 1B as an initial adviser charge. As a result of this, the net amount invested in your chosen fund will be reduced by the amount of the adviser charge. For example if you have invested £10,000 and you have elected to pay your adviser a 2% adviser charge from your initial investment, we will pay your adviser £200 and £9,800 will be invested into the fund.

Initial adviser charge % Please also sign the declaration below.
Adviser charges cannot be facilitated unless this additional declaration has been signed.

I/We declare that I/We have agreed with the agent listed in Section 1B that I/We will pay the agent the above stated initial adviser charge and I/We instruct you to pay such a charge from the amount listed in Section 3 to the agent listed as an initial adviser charge for services provided. I/We acknowledge that this will reduce the amount of my/our initial investment.

First Applicant

Second Applicant
(if applicable)

Third Applicant
(if applicable)

Fourth Applicant
(if applicable)

Signature

Signature

Signature

Signature

X

X

X

X

Now please also complete the standard declaration below.

SIGNATURE
REQUIRED

6B: Declaration: To be completed by all applicants

Please read this section carefully before signing and dating the declaration. This is our standard declaration upon which we intend to rely. For your own benefit and protection you should read these terms along with the relevant Key Investor Information document and Supplementary Information document carefully before signing. More detailed information can also be found in the TB Wise Investment Funds Prospectus. Copies of the relevant documents can be obtained from www.tbailey.co.uk/wise or by contacting T. Bailey Fund Services Limited. If you do not understand any point please ask your financial Intermediary or T. Bailey for further information. Please note that T. Bailey are not authorised to provide financial advice to private individuals.

Failure to sign will mean your application form cannot be processed.

Standard declaration

I/We* declare that the information contained in this application form, and any additional pages completed by me/us* is correct to the best of my/our* knowledge and belief and I/we* undertake to notify T. Bailey Fund Services Limited without delay of any changes to these particulars.

I/We* confirm that I/we* have read and understood in full the information contained in this application form, the relevant Key Investor Information document and the Supplementary Information document (and have kept a copy of these for my/our* records) and that these documents constitute the agreement between me/us* and T. Bailey Fund Services Limited and I/we* agree to be bound by the terms and conditions contained therein.

I/We* request T. Bailey Fund Services Limited to act in accordance with my/our* instructions. I/We* understand that this application is subject to acceptance by T. Bailey Fund Services Limited and that T. Bailey Fund Services Limited accepts no responsibility for any loss incurred as a result of any delay in the submission of the application Form.

I/We hereby confirm that I/we have completed the relevant self-certification details within this application.

I/We agree to indemnify T. Bailey Fund Services Limited and its delegates and/or agents including the administrator for any loss arising to T. Bailey Fund Services Limited and/or its delegates and/or agents by reason of them becoming liable to account for tax in any jurisdiction on the happening of a Chargeable Event (as defined in the Prospectus). This indemnity applies notwithstanding anything to the contrary in this application form or the current Prospectus, unless otherwise agreed by T. Bailey Fund Services Limited in writing.

I/We agree to provide any information as may be requested by T. Bailey Fund Services Limited to enable the relevant fund to satisfy any legal, regulatory or tax obligations.

I/We consent to T. Bailey Fund Services Limited and its delegates and/or agents to disclosing any confidential or personal information as may be required by any governmental, regulatory or taxation authority in order for T. Bailey Fund Services Limited to comply with legal, regulatory or tax obligations.

* delete as applicable

First applicant

Signature

X

Date (DD-MM-YY)

- -

Second applicant

Signature (if applicable)

X

Date (DD-MM-YY)

- -

Third applicant

Signature (if applicable)

X

Date (DD-MM-YY)

- -

Fourth applicant

Signature (if applicable)

X

Date (DD-MM-YY)

- -